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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

JEAN M. GOLDSCHMIDT IKI, ET AL.

Application No.: 08/939,185

Filed: September 29, 1997

For: **GRAPHICAL USER INTERFACE WITH
MULTIMEDIA IDENTIFIERS**

Art Group: 2773

MAR 16 2000

Group 2700

Examiner: Chadwick A. Jackson



INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to Rule 1.97(c)(1), Applicants desire to disclose the references set forth on the attached Form PTO 1449 pursuant to their duty of candor and good faith under 37 C.F.R. § 1.56. Applicants recently became aware of the cited art during prosecution of patent application. The cited art is listed on Form 1449 which is enclosed with copies of the cited art.

As set forth under Rule 1.97(e)(2), the undersigned attorney hereby states that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to filing the Information Disclosure Statement.

In the event any petition is needed for consideration of this Information Disclosure Statement, it is hereby so petitioned. Please charge any additional fee due to Deposit Account 02-2666. A duplicate of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Aloysius T.C. AuYeung, Reg. No. 35,432

Date: March 10, 2000

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 to the Patent and Trademark Office on: March 10, 2000.

03/10/00

Date

2773

PTO/SB/21 (12/97)

Approved for use through 9/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

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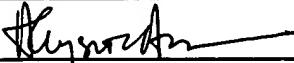
Total Number of Pages in This Submission

Application Number	08/939,185	RECEIVED
Filing Date	September 29, 1997	MAR 16 2000
First Named Inventor	Jean M. Goldschmidt	Group 2700
Group Art Unit	2773	
Examiner Name	Chadwick A. Jackson	
Attorney Docket Number	042390.P4500	

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	1) Return Receipt Postcard; and 2) Copies of 9 cited references.
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Aloysius T.C. AuYeung, Reg. No. 35,432 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	March 10, 2000

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

March 10, 2000

Typed or printed name	Michelle J. Turner
Signature	
Date	03/10/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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MAR 16 2000

PTO/SB/17 (6/99)

Approved for use through 04/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Group 2700

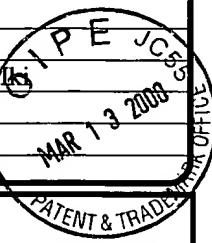
FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT **(\$)** **0.00**

Complete If Known

Application Number	08/939,185
Filing Date	September 29, 1997
First Named Inventor	Jean M. Goldschmidt
Examiner Name	Chadwick A. Jackson
Group/Art Unit	2773
Attorney Docket Number	042390.P4500



METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees to:

2. The Commissioner is hereby authorized to credit any over payments to:

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

Charge Any Additional Fees Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	Code	(\$)	Code	(\$)	(\$)		
101	690	201	345	Utility filing fee			
106	310	206	155	Design filing fee			
107	480	207	240	Plant filing fee			
108	690	208	345	Reissue filing fee			
114	150	214	75	Provisional filing fee			

SUBTOTAL (1) **(\$)**

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
17	3	20 - 6 =	18.00 X 78.00 =	0

**or number previously paid, if greater. For Reissues, see below

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Fee Description
Code	Code	(\$)	Code	(\$)	(\$)	
103	18	203	9	Claims in excess of 20		
102	78	202	39	Independent claims in excess of 3		
104	260	204	130	Multiple Dependent claim, if not paid		
109	78	209	39	**Reissue independent claims over original patent		
110	18	210	9	**Reissue claims in excess of 20 and over original patent		

SUBTOTAL (2) **(\$)** **0.00**

3. ADDITIONAL FEE

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	Code	(\$)	Code	(\$)	(\$)		
105	130	205	65	Surcharge - late filing fee or oath			
127	50	227	25	Surcharge - late provisional filing fee or cover sheet			
139	130	139	130	Non-English specification			
147	2,520	147	2,520	For filing a request for reexamination			
112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
115	110	215	55	Extension for response within first month			
116	380	216	190	Extension for response within second month			
117	870	217	435	Extension for response within third month			
118	1,210	218	680	Extension for response within fourth month			
128	1,850	228	925	Extension for response within fifth month			
119	300	219	150	Notice of Appeal			
120	300	220	150	Filing a brief in support of an appeal			
121	260	221	130	Request for oral hearing			
138	1,510	138	1510	Petition to institute a public use proceeding			
140	110	240	55	Petition to revive - unavoidable			
141	1,210	241	605	Petition to revive - unintentional			
142	1,210	242	605	Utility issue fee (or reissue)			
143	430	243	215	Design issue fee			
144	580	244	290	Plant issue fee			
122	130	122	130	Petitions to the Commissioner			
123	50	123	50	Petitions related to provisional applications			
126	240	126	240	Submission of Information Disclosure Stmt			
581	40	581	40	Recording each patent assignment per property (times number of properties)			
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))			
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))			

Other fee (specify)

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\$)**

Complete (if applicable)

Typed or Printed Name	Aloysius T.C. AuYeung	Reg. Number	35,432
Signature		Date	03/10/00
		Deposit Account User ID	02-2666

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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